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# Shaping Diamond OA

Criteria for journals

16th May 2024, 16:00-17:30 CEST



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## Housekeeping Rules

- please stay muted and turn your camera off
- please pose questions via the chat
- please note that this meeting will be recorded



# Agenda

- 1) Presentation of
  - CRAFT-OA
  - DIAMAS
  - Criteria
  - borderline cases

Margo Bargheer, University of Göttingen/ CRAFT-OA

Johan Rooryck, cOAlition S/ DIAMAS/ Leiden University

- 2) Community Consultation
  - Mentimeter Session
  - Discussion

- 3) Wrap-Up



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## Objective

review & shape an **operational definition**  
of **criteria for Diamond OA journals**



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## Mentimeter Session

Join at

**menti.com** with the following code:

**6114 7245**

or **scan the QR code** on the right



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## Wrap-up

Thank you for your participation!

This feedback form provides the opportunity to leave remarks and pose further questions.

**CRAFT-OA**

craft-oa.eu

X: @craftoa\_project

Mastodon: @mastodon.online/@craftoa

**DIAMAS**

diamasproject.eu

X: @DiamasProject

**Contact:**

Sona Arasteh (CRAFT-OA/DIAMAS)

[sona.arasteh@operas-eu.org](mailto:sona.arasteh@operas-eu.org)



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# Towards a practical definition of Diamond OA: from principles to concrete use cases



Johan Rooryck,  
cOAlition S, DIAMAS

Webinar: Shaping Diamond OA: Criteria for journals  
16 May, 2024



DIAMAS

## Project description



### Supporting Diamond Open Access to achieve full potential

Open access (OA) models are revolutionising today's scholarly communication and collaboration. They are eliminating costs and lifting physical barriers, granting open access to scientific data and research information. The online non-profit Diamond OA is among the most promising international academic platforms gathering a community of academics, policymakers and members of non- and for-profit organisations of high-quality research. However, Diamond OA needs technical and organisational support to develop its full potential. The EU-funded CRAFT-OA project will strengthen the Diamond OA by improving the technological bases of its platforms, software and infrastructure. It will make Diamond OA's activities and results more discoverable and appreciated.

Hide the project objective

## Objective

After several decades of evolving, Open Access (OA) publishing is now at the centre of scientific communication, providing access to scientific publications without barriers. In Diamond Open Access, authors can publish free of charge as the institutional sector with universities, research institutions or libraries provide the necessary technological infrastructure. While the commercial model of Open Access dominates in open access journals from

### Project Information

#### CRAFT-OA

Grant agreement ID: 101094397

#### DOI

[10.3030/101094397](https://doi.org/10.3030/101094397)

#### EC signature date

6 December 2022

#### Start date

1 January 2023

#### End date

31 December 2025

#### Funded under

Research infrastructures

#### Total cost

€ 4 777 337,50

#### EU contribution

€ 4 777 337,50



#### Coordinated by

GEORG-AUGUST-UNIVERSITÄT GÖTTINGEN

STIFTUNG ÖFFENTLICHEN RECHTS

Germany

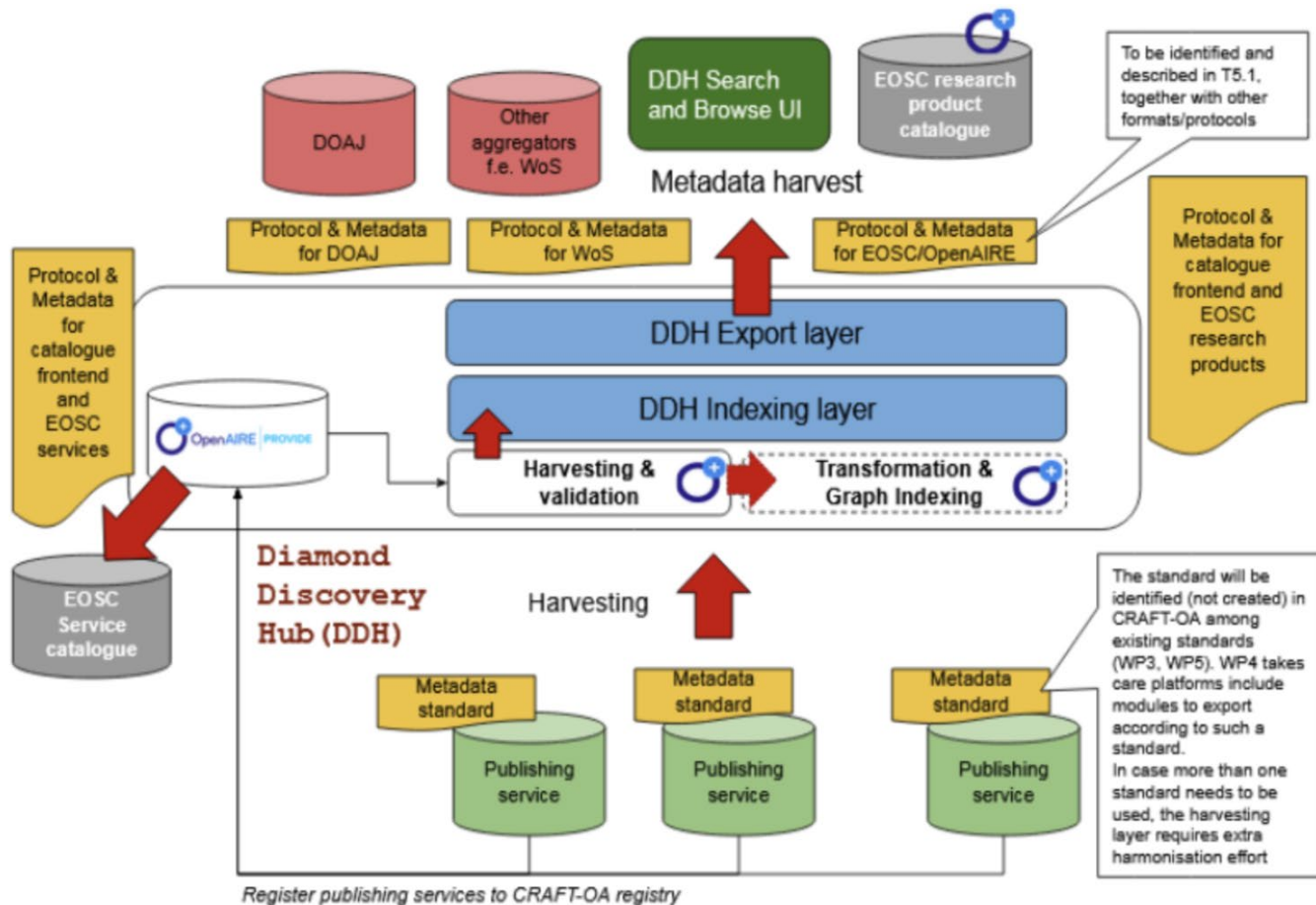


# Development of the Diamond Discovery Hub (DDH)

The logo for EOSC (European Open Science Cloud) features a stylized 'S' shape composed of two overlapping curved lines, one purple and one red, positioned above the letters 'EOSC' in a bold, black, sans-serif font.The logo for CRAFT-OA (Community Research and Training for Open Access) consists of the text 'CRAFT-OA' in white, uppercase, sans-serif font, centered within a dark red, rounded rectangular background.

- ❖ A comprehensive **registry** of institutionally published and scholar-led Open Access Journals in Europe.
- ❖ Increase the **visibility** of the Diamond OA ecosystem:
  - ❖ Visibility of Diamond **journals**
  - ❖ Visibility of Diamond **publishers** and **service providers** (*Institutional Publishing Service Providers, IPSPs*)
  - ❖ Visibility of Diamond **infrastructures** (*Institutional Publishing Technology Providers, IPTPs*)
- ❖ Ensure **technically robust solutions** (EOSC, OpenAIRE): registering, harvesting, harmonizing, validating, metadata, content aggregation, content formats.

# Development of the Diamond Discovery Hub (DDH)



# 'Diamond OA' ?



# The many meanings of ‘Diamond OA’ beyond ‘No APC’

- ❖ Marie Farge 2012: “The case where an electronic journal would **belong to the editorial committee, a collective of researchers** whose members would be regularly renewed, who would take care of peer reviewing for free (as is the case now) **with the help of service units** [...] whose role it would be to publish selected articles online. [...] I propose to call this third path ‘Diamond OA’ to outbid the ‘Gold OA’ terminology chosen by the publishers.” [my translation]
- ❖ cOAlition S 2020:  
“**collaborative non-commercial** publishing models for Open Access”
- ❖ EC 2022 (HORIZON-INFRA-2022-EOSC-01-02):  
“**not-for-profit institutions** [...] that run open access publishing initiatives **for the public interest (non-commercial)**, [...], and **which do not levy article processing charges (APCs)**”

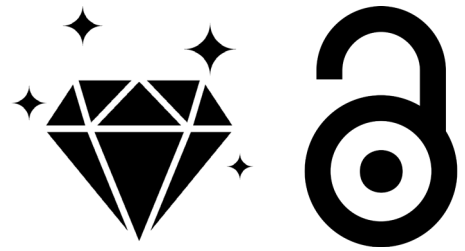
# The many meanings of ‘Diamond OA’ beyond ‘No APC’

- ❖ **Diamond action Plan 2022** : “a scholarly publication model in which journals and platforms **do not charge fees to either authors or readers**. Diamond Open Access journals are **community-driven, academic-led, and academic-owned** publishing initiatives”
- ❖ **Toluca 2023**: “Diamond Open Access (OA) is a scholarly communication model in which research outputs are openly available, without charging fees to either authors or readers. In this model, **all content-related elements are led and owned by scholarly communities**”
- ❖ **Mounier & Rooryck 2023**: “‘Diamond’ Open Access is a scholarly communication model whereby research outputs are openly available without charging fees to either authors or readers. Importantly, it is a model that is **driven by scholarly communities, meaning that they are in the lead and have ownership of the content-related elements of scholarly communication**”  
<https://thd.hypotheses.org/296>



# Towards an operational definition of 'Diamond OA' journals

# How to define a 'Diamond OA' journal ?



## 1. **Persistent identification:**

The journal should have a valid ISSN

## 2. **Scholarly journal:**

The journal should be a scholarly journal that **selects papers via a rigorous evaluation process** before and/or after publication that is in line with accepted practices in the relevant discipline, (See also EQSIP v2 <https://zenodo.org/doi/10.5281/zenodo.10726731>).



## 3. **Open Access with open licenses:**

All outputs of the journal should be Open Access and carry an open license that is included in the article-level metadata.

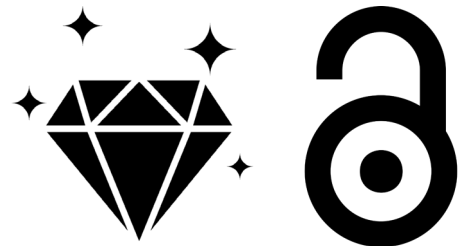


## 4. **No mandatory fees:**

The journal is not subject to any mandatory fees imposed by its publisher, and should itself not impose a mandatory fee of any kind as a condition for publication. The journal should state this as such on its webpage.



# How to define a 'Diamond OA' journal ?



## 5. **Open to all authors:**

Authorship in the journal should not be limited to any type of affiliation. Any author can submit an article that is in line with the aims and scope of the journal



## 6. **Community-owned:**

The journal should be owned by organizations (or parts thereof) whose main mission is to perform research or scholarship



## 7. **Editorial independence:**

in case proof of ownership is lacking, or the journal is owned by a third party, the journal's editorial board should provide a public self-declaration of editorial independence (as an editorial with its own PID).





# How to define a 'Diamond OA' journal ?

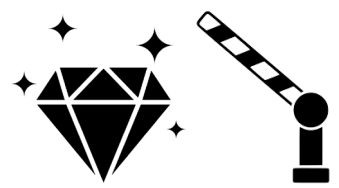


## Re: 5. **Editorial independence:**

The editorial team and board declare that they have control over the following matters regarding the management of the journal:

- ❖ They are **representative** of the scholarly community served by the aims and scope of the journal.
- ❖ Complete autonomy in determining **composition and renewal** of the editorial team and board.
- ❖ Complete autonomy regarding **editorial decisions** about papers submitted to the journal. No publisher interference in decisions or quotas.
- ❖ Full access to and **control over the list of reviewers** that is kept in the submission system of the journal.
- ❖ The **sole authority to contact reviewers and authors** (no publisher interference).
- ❖ Appropriate **procedures to resolve any conflicts** between the journal's team/board and the publisher

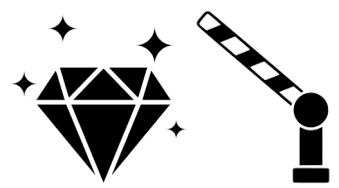
# Applying criteria to real life: the border cases



- [The Journal of Patient Experience](#)

- Published by the Association for Patient Experience/SAGE)
- *“dedicated to presenting advances and applications that impact the patient experience. (...) share ideas from industry leaders, caregivers, patients and family members. The Editorial Board (...) feels that patients may have unique perspectives on how healthcare might use patient advisory councils, rounding, access, and transparency (to name a few) to enhance compassionate care of the future.”*
- for patients to be *“informed, engaged and proactive”* and [care] providers to have *“information, education, networking opportunities, and related resources focused on best practices”* resulting in better care.
- the journal is peer-reviewed, fully OA, authors retain copyright, works are published under open licenses, and its publishing costs are sustainably funded by the Association for Patient Experience.
- Declaration of independence from SAGE. We may need a broader definition of ‘scholarly’?

# Applying criteria to real life: the border cases



- Culture et Recherche
  - Chief editor both academic and ministry employee
  - Internal peer review process from editorial committee
  - owned by Ministry, but people inside are academics, and PR process
  - valid Diamond, but self-declaration independence is crucial
  
- Revue de l'histoire des religions
  - Famous and prestigious
  - Run by commercial publisher, but editorial staff in RPO (Collège de France)
  - Who owns the title?
  - Declaration of independence necessary. Probably not an issue, just clarification.
  
- Subscribe to Open (S2O) journals
  - is S2O Diamond if the editorial team formulates a declaration of independence?



ISSN



# Defining the Diamonds For Open Access

CRAFT-OA  
Creating a Robust Accessible Federated Technology  
for Open Access  
Margo Bargheer



# The CRAFT-OA Consortium

- Jan 2023 to Dec 2025
- 23 institutions from 14 European countries
- Several Partners with long standing experience on open information infrastructures and open science
- Several partners with responsibility for Open Access publication services
- Several partners with technical responsibility for publishing platforms

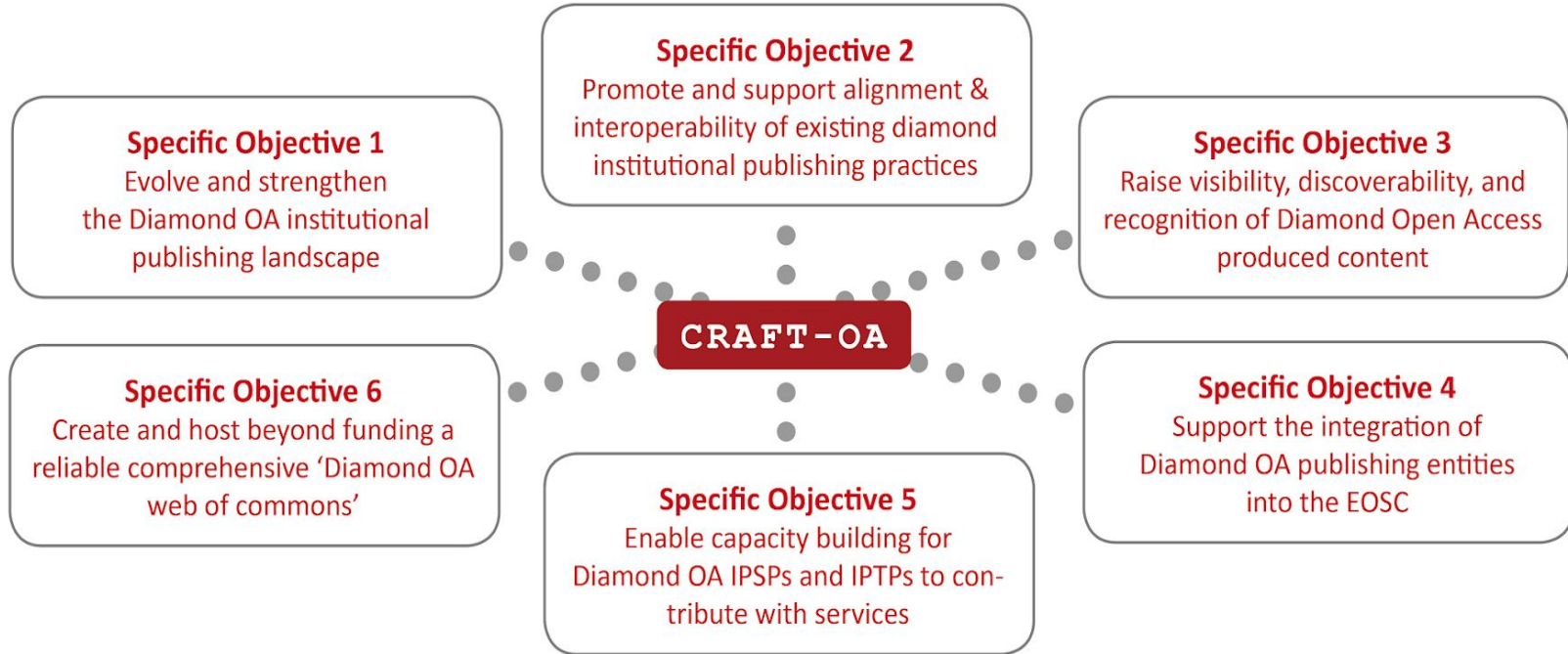


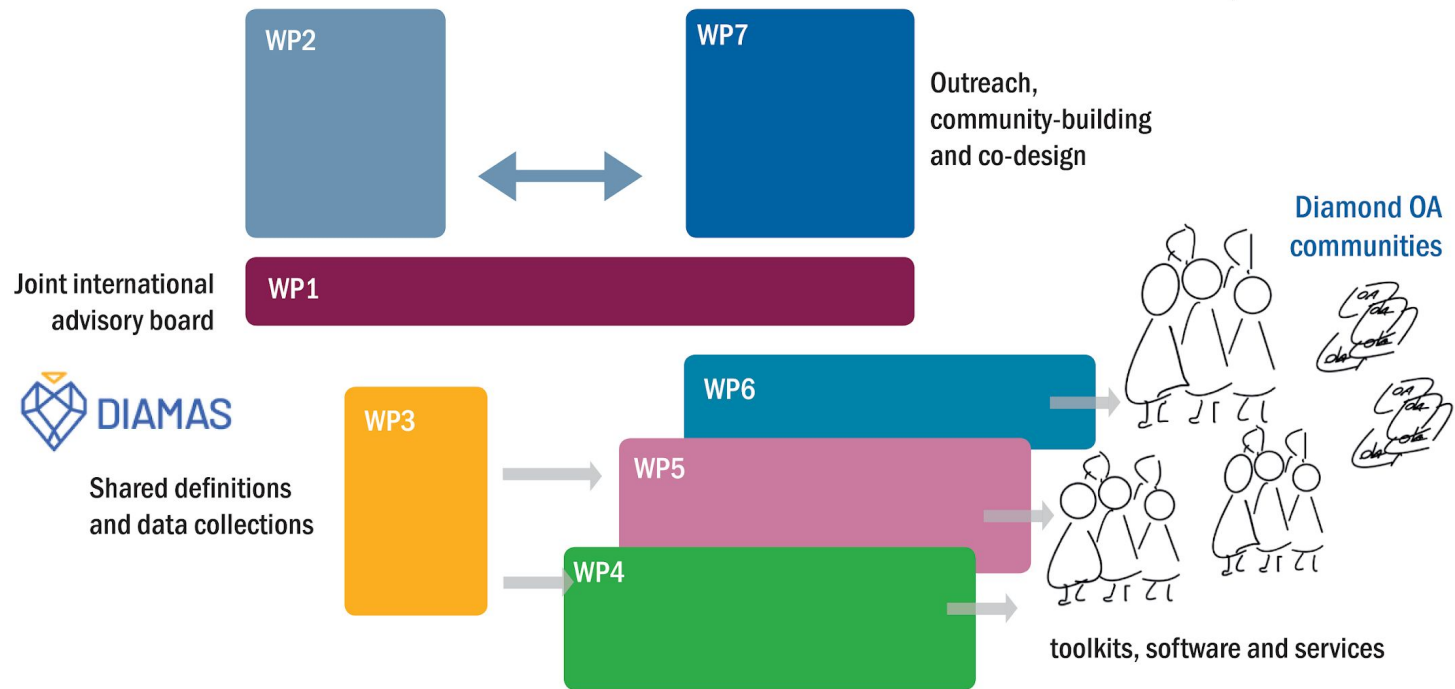
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# Main objectives of CRAFT-OA







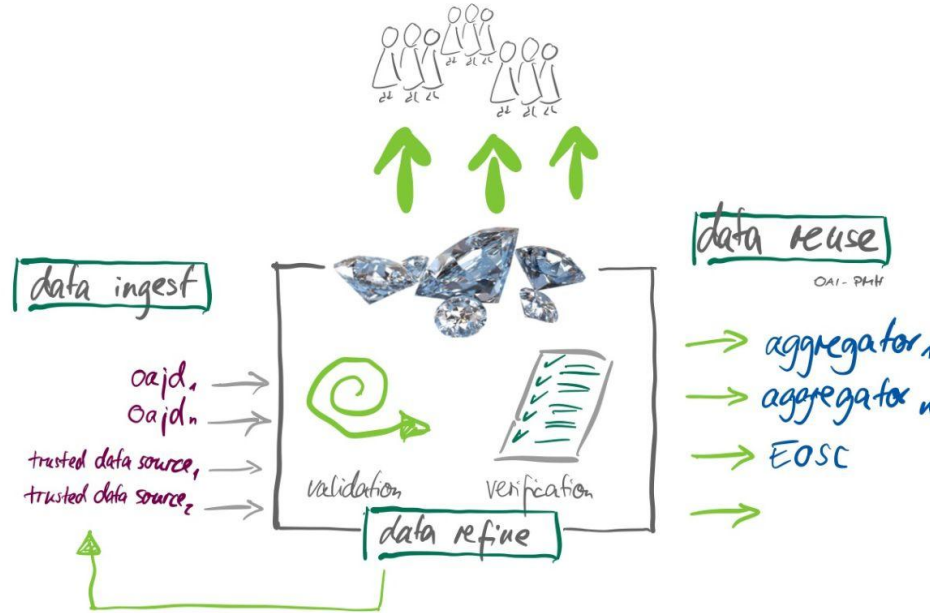
## **KER 1** The Diamond Discovery Hub

- Identifies the largest possible set of diamond open access journals
- Collects and stores diamond open access journals' metadata
- Ensures the (meta)data quality, richness, and interoperability
- Displays structured information on journal ownership, governance, mission
- Technically aligns existing IPSPs that support diamond publishing
- Provides detailed and verified information for aggregators

# KER 1 The Diamond Discovery Hub

DDH editorial team:

- assigns diamond status
- communicates with IPSPs
- defines trusted IPSPs



Data sources:

- DOAJ
- OpenAire
- Trusted IPSPs
- DDH application form

1st iteration of DDH based on journal metadata

## Diamond criteria for journals (CRAFT-OA & DIAMAS)

- A persistent and valid ID (ISSN)
- An (academic) peer-reviewed scholarly journal
- Authorship in the journal should not be limited to any type of affiliation
- Owned by organisations (or parts thereof) that perform (or organise ?) research or scholarship (as their main endeavour ?)
- Does not impose a mandatory fee of any kind
- All outputs carry an open license

# General dilemma of selecting items from a continuum



- “Diamondity” expresses itself on various levels of journal publishing
- too easy on the selection criteria and risking false positives (unwanted entries in your selection)
- Too hard on the selection criteria and risking false negative (unwanted exclusion from your selection)
- When the reward is high, the gaming begins

## Clearly Observable Data Points

Prevalence/Absence of mandatory fees

PIDs for title-level and articles

OA licences

## Observable Data Points

Editorial models around ownership

Editorial models around quality control

Content quality

## Almost unobservable Data Points

Fiscal details of ownership and economic model

Enduring commitment for fair OA

An illustration of a large iceberg floating in the ocean. The top of the iceberg is visible above the water line, while the much larger, jagged base is submerged below. The sky is light blue with a white sun, and the water is a darker blue with small white specks and fish. The overall style is low-poly and geometric.

Assessing the  
Diamondity of  
journals