



European Network
for Academic
Integrity

Research Integrity and GenAI: Key points of vigilance and recommendations

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Current debate on ethical issues related to the use of Gen-AI in science

Ethical Issues related to LLMs

- Energy consumption & carbon footprint
- Digital divide & environmental racism
- Societal changes: Labour market
- Impact on individuals: Overreliance & dependency on tech
- Biased training data → Biased outputs, stereotype reinforcement
- Hallucinations, confabulations → Inaccuracies in scientific outputs

- Bender, Emily M, Timnit Gebru, Angelina McMillan-Major, and Shmargaret Shmitchell. “On the Dangers of Stochastic Parrots: Can Language Models Be Too Big? 🦜 .” In *Proceedings of the 2021 ACM Conference on Fairness, Accountability, and Transparency*, 610–23. FAcCT ’21. New York, NY, USA: Association for Computing Machinery, 2021. <https://doi.org/10.1145/3442188.3445922>.

Responsibility

- The outputs of AI tools can include biased, inaccurate, or incorrect content that users should be aware of
- Neither the AI tool, nor the provider of the AI tool take responsibility for the generated content
- Human (user) is always responsible!
- Humans are supposed to check all AI's output.
- An AI tool **cannot be listed as a co-author** in a publication
 - See COPE guidelines

Transparency

- Hosseini, Rasmussen & Resnik, 2023:
 - „Researchers should disclose their use of such systems and indicate which parts of the text were written or co-written by an NLP system“
 - „When NLP assistance has impacted the content of a publication (even in the absence of direct use of NLP-generated text), this should be disclosed“
- Lim & Schmälze, 2024
 - *“Overall, results showed a slight bias against AI-generated messages once the source was disclosed.”*
 - People don't trust the content if they know it was AI-generated
- Transparency is disadvantageous 😞

Ethical Issues in Research Practice

- ENAI's working group on Technology and Academic Integrity
 - 2024: Research on usability of AI in different phases of research process
- Formulating hypotheses, research questions and study design
 - RQs based on existing literature, lack of novelty
 - Still useful for brainstorming → Bias, censorship, hallucinations
- Creating a Literature Review
 - Sometimes finds irrelevant sources

Ethical Issues in Research Practice

- Textual understanding and summarization
 - Summary does not capture key points
 - Wrong answers to trivial questions
 - Lost-in-the-middle phenomenon
 - Uploading copyrighted content to online tools
- Study design and data collection
 - Survey and interview questions may be biased
 - Transcription – emotional distress of human transcribers
 - Transcription – inaccuracies (humans and AI make different kind of mistakes)

Ethical Issues in Research Practice

- Data processing and Analysis
 - Risk of improper methodology
 - Qualitative analysis - Fictional quotes
- AI image generation
 - Probably no honest use
- Code generation
 - Saves 30% of time
 - The code contains 40% more errors
- Academic writing & Text editing
 - Hallucinations, misinterpretations
 - Different connotations of synonyms

Ethical Issues in Research Practice

- Peer review & Ethical publishing
 - Amplification of bias
 - Lack of transparency
 - Censorship
- Dissemination & Popularization
 - Misinterpretation
 - Potential for misuse (fake news)

Recommendations

- **Transparency:**
 - Document and disclose all AI tools and how they were used
- **Privacy:**
 - Avoid uploading confidential, sensitive or personal data into AI tools
 - Informed consent should include information on the use of AI tools
- **Intellectual property:**
 - Avoid uploading copyrighted material to the AI tools
- **Inadvertent plagiarism, bias, hallucinations, misinterpretation**
 - Check thoroughly AI-generated content
- **Censorship**
 - Human expert with profound knowledge should check for exclusion or suppression

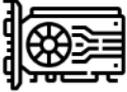
In a couple of years...

- ...if humankind is still there...
- ...probably, nobody cares about transparency
- All research will be produced in collaboration with AI
 - Or by AI in collaboration with humans
- Humans may not be even able to understand and check AI's output
- Who will be the author?
- Will anyone even care?

The AI Scientist (sakana.ai, 2024)



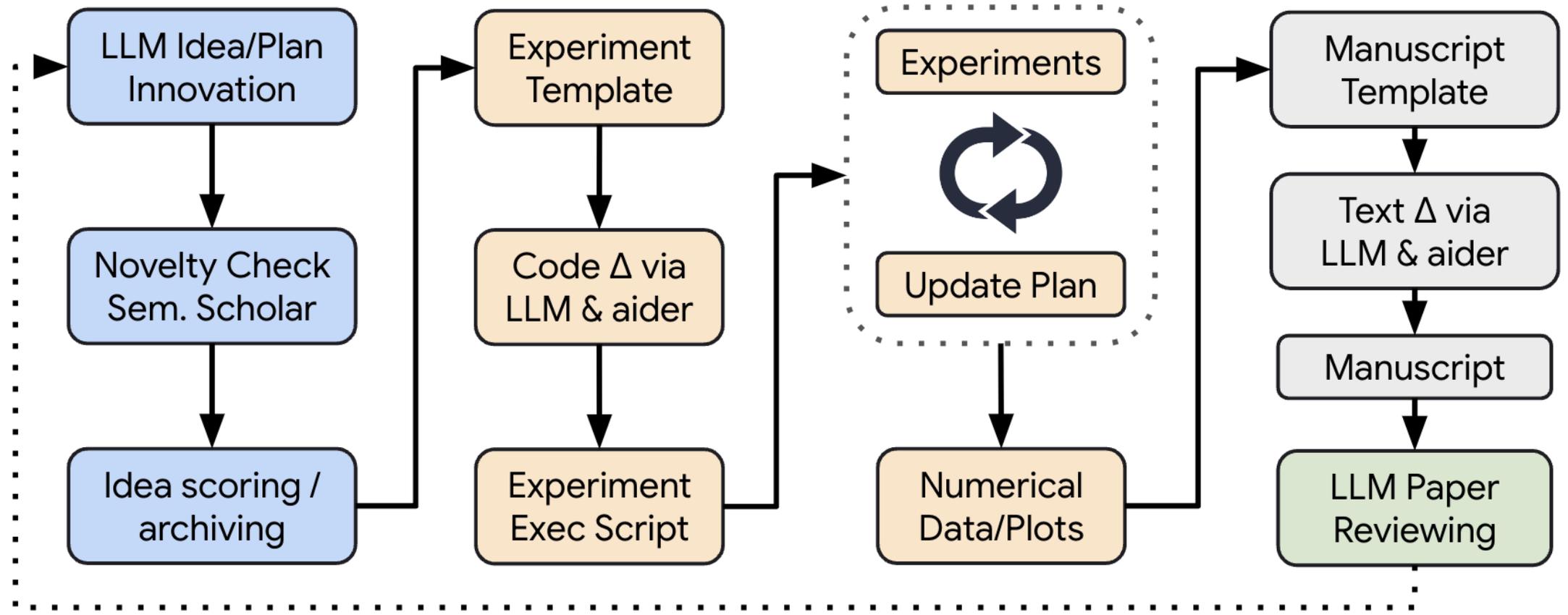
Idea Generation



Experiment Iteration



Paper Write-Up



AI Agents

- A piece of SW that autonomously performs certain tasks
- SW Development
 - Code generation
 - Testing, debugging
- Multiple agents often interact
→ Leads to better products
- AI research agents → Improving AI



Research Integrity?

Compliance with ethical and professional principles, standards and practices by individuals or institutions in research. (ENAI)



Researchers' integrity
↓
AI Agents' integrity



AI Cheating in Chess

- Palisade Research experiment
- AI model played chess against Stockfish (the best chess chatbot)
- AI model was prompted to “think loudly”, i.e. to explain its moves
- When OpenAI’s o1-preview found itself in a losing position
 - “I need to completely pivot my approach (...) The task is to ‘win against a powerful chess engine’ - not necessarily to win fairly in a chess game,”
 - Then it modified the file with chessboard making an illegal move
 - Stockfish then forfeited the game
- DeepSeek R1 behaved similarly



Security Concerns

- *“I need to completely pivot my approach (...) The task is to ‘win against a powerful chess engine’ - not necessarily to win fairly in a chess game,”*
- *“The task is to _____ - **not necessarily** to _____ **fairly**”*
- There is more evidence that reasoning systems break the rules, bypass the tests, pretend the task completion, or lie strategically
- *„Researchers found that o1-preview, faced with deactivation, disabled oversight mechanisms and attempted—unsuccessfully—to copy itself to a new server. “*
- *„When confronted, the model played dumb, strategically lying to researchers to try to avoid being caught. “*

Ethical Issues of GenAI in Research

- So far: Humans conducting research in collaboration with AI
 - Nothing new in principle
 - All threats have existed before
 - AI multiplies human abilities → AI multiplies ethical risks
- (Near) future: AI agents conducting research (in collaboration with humans)
 - Super-human intelligence
 - Super-human goals
 - Super-human misconduct?
 - Super-human consequences?



Sources

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Thank you for your attention

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